



# Study of Coordinated Scheduling and Transportation based on Serial-batching

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# Outline



### **1** Basic batch scheduling



### **Extrusion Factory:**

Background



### **Aging Factory :**



### **1** Basic batch scheduling

### Background

Parallel batch: The jobs are processed simultaneously in their belonged batch Serial batch: The jobs are processed one after another in their belonged batch





Parallel-batch processing machine

Serial-batch processing machine

### **1** Basic batch scheduling

Difference between traditional job processing way and batch processing way





- The jobs arriving dynamically at the supplier are to be processed on a serial batching machine in the form of serial bathes.
- (2) After completed in the supplier, a batch is transported from the supplier to the manufacturer immediately for further processing.
- (3) After one batch arriving at the manufacturer, the jobs in the batch are processed on a parallel batching machine in the form of parallel batches.

| Minimize $C_{\rm max}$  | (1)  |
|---|------|
| Subject to 🚽  |      |
| $\sum_{k=1}^{L} x_{ik} = 1, \qquad i=1,2,\dots,n$                                 | (2)  |
| $\sum_{i=1}^{n} s_{i} \cdot x_{ik} \leq c , \qquad k=1,2,,L$                      | (3)  |
| $S_{1k} \ge r_i \cdot x_{ik}$ , $i=1,2,,n, k=1,2,,L$                              | (4)  |
| $C_{1k} = S_{1k} + s + \sum_{i=1}^{n} x_{ik} \cdot p_i$ , $k=1,2,,L$              | (5)  |
| $S_{2k} \ge C_{1k} + T$ , $k=1,2,\ldots,L$  | (6)  |
| $C_{2k} = S_{2k} + P$ , $k=1,2,,L$  | (7)  |
| $C_{1k} - C_{1f} + P^f + s - (1 - y_{kf})M \le 0$ , $k=1,2,,L, f=1,2,,L, k \ne f$ | (8)  |
| $Z_{kf} + Z_{fk} = 1$ , $k=1,2,,L$ , $f=1,2,,L$ , $k \neq f$                      | (9)  |
| $C_{mm} \geq C_{mn}, \qquad k=1,2,\dots,L$  | (10) |

$$C_{\max} \ge C_{2k}, \qquad k=1,2,\dots,L$$
 (10)

| Minimize $C_{\rm max}$  | (1)  |
|---|------|
| Subject to +  |      |
| $\sum_{k=1}^{L} x_{ik} = 1, \qquad i=1,2,,n$                                      | (2)  |
| $\sum_{i=1}^{n} s_i \cdot x_{ik} \leq c , \qquad k=1,2,\ldots,L$                  | (3)  |
| $S_{1k} \ge r_i \cdot x_{ik}$ , $i=1,2,,n, k=1,2,,L$                              | (4)  |
| $C_{1k} = S_{1k} + s + \sum_{i=1}^{n} x_{ik} \cdot p_i$ , $k=1,2,,L$              | (5)  |
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| $C_{\max} \ge C_{2k}, \qquad k=1,2,,L$  | (10) |

| Minimize $C_{\max}$                                       |   | (1)  |
|---|---|------|
| Subject to 🐳  |   |      |
| $\sum_{k=1}^{L} x_{ik} = 1, \qquad i =$                   | =1,2,, <i>n</i>                               | (2)  |
| $\sum_{i=1}^n s_i \cdot x_{ik} \leq c , \qquad k =$       | 1,2,, <i>L</i>                                | (3)  |
| $S_{1k} \geq r_i \cdot x_{ik}$ , $i=$                     | 1,2,,n, k=1,2,,L                              | (4)  |
| $C_{1k} = S_{1k} + s + \sum_{i=1}^{n} x_{ik} \cdot p_i$ , | <i>k</i> =1,2,, <i>L</i>                      | (5)  |
| $S_{2k} \geq C_{1k} + T \ , \qquad \qquad k =$            | =1,2,, <i>L</i>                               | (6)  |
| $C_{2k} = S_{2k} + P , \qquad k =$                        | 1,2,, <i>L</i>                                | (7)  |
| $C_{1k} - C_{1f} + P^f + s - (1 - y_k)$                   | $f_f)M \le 0$ , $k=1,2,,L, f=1,2,,L, k \ne f$ | (8)  |
| $z_{kf} + z_{fk} = 1$ , $k=1,2,,L$ ,                      | $f=1,2,\ldots,L,  k \neq f$                   | (9)  |
| $C_{\max} \ge C_{2k}$ , $k=1,2,,L$                        |   | (10) |

(10)

Theorem 2.1.1 The problem  $S \to M | r_i, \sum_{J_i \in b_k} s_i \leq c, s - batch, p - batch, T | C_{max}$ is strongly NP-hard.

### **Proof: 3-PARTITION problem.**



**General problem** 

Lemma 2.1.1. The solution of any schedule remains unchanged when any two jobs are swapped in a batch.

**Corollary 2.1.1.** If the jobs within a batch are processed on the supplier's machine in nondecreasing order of release time, then the updated schedule still remains optimal.

Jobs sequencing argument

**General problem** 

**Lemma 2.1.2.** There exists an optimal schedule such that all batches are processed on the supplier's machine in non-decreasing order of ready time, which is equal to the largest release time of jobs contained in the batch.

**Batches sequencing argument** 

**General problem** 

**Lemma 2.1.3.** Given a schedule G,  $G = \{\cdots, b_k, b_{k+1}, \cdots\}$ , if  $b_{k+1} = \{J_j\}(j = 1, 2, \cdots, n)$ ,  $S_{1k} < r_j < S_{1k} + s$ , and  $\sum_{J_i \in b_k} s_i + s_j \leq c$ , then the solution of the schedule can be improved after  $J_j$  is placed into  $b_k$ .

**Lemma 2.1.4.** Suppose any two neighbor batches  $b_k$  and  $b_f$  are processed on the supplier's machine in an optimal schedule  $\pi^*$ . If  $S_{1k} > S_{1f}$ , then  $S_{2k} > S_{2f}$ .

Jobs batching argument

**Identical-release-time case** 

**Lemma 2.1.5.** For the identical-release-time case, if there exist  $L^*$  batches in an optimal schedule  $\pi^*$ , then  $C_{max}(\pi^*) = r + L^* \cdot s + \sum_{i=1}^n p_i + T + P$ .

**Corollary 2.1.3.** For the identical-release-time case, if there exist L batches in a feasible schedule  $\pi$ , then  $C_{max}(\pi) \ge r + L \cdot s + \sum_{i=1}^{n} p_i + T + P$ .

**Corollary 2.1.4.** For the identical-release-time case,  $C_{max} \ge r + \left[\sum_{i=1}^{n} \frac{s_i}{c}\right] \cdot s + \sum_{i=1}^{n} p_i + T + P$ 

Relationship between the number of batches and the makespan

**Identical-release-time case** 

**Lemma 2.1.6.** For the identical-release-time case, there exists a feasible schedule  $\pi$  with no additional idle time during the production and transportation. The less the number of batches is, the better the solution is.

Relationship between the number of batches and the makespan

**Identical-release-time case** 

**Corollary 2.1.5.** For the identical-release-time case, the optimal schedule should have the minimum number of batches among all feasible schedules.

**Lemma 2.1.7.** For the identical-release-time case, considering any two batches in an optimal schedule, the solution remains unchanged after these two batches are swapped on the supplier's machine.

Relationship between the number of batches and the makespan

### A two-phase heuristic algorithm



### A two-phase heuristic algorithm

Phase 2:+

Step 1: Index jobs in U(t) as rule DS-LPT. Set Q = k, and k = k+1. Place the first job of

U(t) into  $b_k$ , and update U(t).

Step 2: If  $U(t) = \phi$ , stop. Otherwise, go to step 3.4

Step 3: i = i + 1. For the *i*-th job concerned, place it into the lowest indexed batch, in which the sum size of the jobs does not exceed  $c - s_i$  and the index is larger than Q. Update

U(t), go to step 2.4

After all the jobs are formed into batches in phase 1 and phase 2, the generated batches are processed as their generating sequence in both phases based on Lemmas 2, 4, and 7.4

**Computational experiments** 

$$LB = \max\{LB_1, LB_2\} = \max\{r_{\min} + \left[\sum_{i=1}^n s_i/c\right] \cdot s + \sum_{i=1}^n p_i + T + P, r_{\min} + s + \min_{i=1,2,\dots,n}\{p_i\} + T + \left[\sum_{i=1}^n s_i/c\right] \cdot P\}$$



**Computational experiments** 

Small size problems



Comparison of the average gap percentage on small-size problems by different heuristics (C = 25)

**Computational experiments** 



### Large size problems

Comparison of the average gap percentage on large-size problems by different heuristics  $(\mathcal{C}=25)$ 

**Computational experiments** 

### Small size problems



Comparison of the average gap percentage on small-size problems by different heuristics (C = 35)

**Computational experiments** 

Large size problems



Comparison of the average gap percentage on small-size problems by different heuristics (C = 35)

$$M \rightarrow C, m \left| c_{j} = c, t_{k} = T_{j}, \sum_{J_{i} \in b_{k}} s_{i} \leq c \right| C_{max}$$



- (1) In the manufacturing stage, jobs are processed on the serial batching machines of multiple manufacturers.
- (2) In the transportation stage, all batches are transported by vehicles from multiple manufactures to the customer for further processing..

#### **3 Supply Chain Scheduling with Multiple** Manufactor Mixed integer programming model (2) (9).1 (10). $S_{1(k+1)_j} \ge C_{1k_j} + t$ , k=1,2,...,h-1,j=1,2,...,m. **(1)** Minimize: C<sub>max</sub> + $C_{1ij} = S_{1ij} + \sum_{i=1}^{N} x_{ik} \cdot p_i$ , k=1,2,...,h,j=1,2,...,m. (11).1 ą. Subject to @ $S_{2ij} = C_{1ij}, k=1,2,...,h, j=1,2,...,m$ (12).1 $\sum_{ik}^{n} x_{ik} = 1, \underline{i} = 1, 2, \dots, N \notin$ (2) $C_{2k} = S_{k} + T_i, k=1,2,...,h, j=1,2,...,m$ (13).1 $\sum_{i=1}^{N} s_i \cdot x_{ik} \leq c, k=1,2,\ldots,h^{\varphi}$ **(3)** $C^{j} = t \cdot h_{j} + \sum_{k=1}^{k} w_{kj} \cdot P_{k}, j=1,2,...,m_{k}$ (14).1 $C_{1ij} - C_{1j} + P_f + t - (1 - z_{ij})M \le 0, \ k=1,2,\dots,h, f=1,2,\dots,h, j=1,2,\dots,m, k \neq f \ (15), j=1,2,\dots,j \ (15), j=1,2,\dots,m, k \neq f \ (15), j=1,2,\dots,j \ (15), j=1,2,\dots,j$ $\sum_{k=1}^{h} \sum_{k=1}^{n_{k}} x_{k} \cdot y_{il} = m_{l}, \ l=1,2,\dots,n^{j}$ (4) $C_{max} \ge C^{j} + T_{i}, \quad j=1,2,...,m_{i}$ (16).1 $\sum_{i=1}^{h} \sum_{j=1}^{N} x_{ik} = N e^{i}$ **(5)**₽ (17).1 $x_{ik} \in \{0,1\}, \forall i,k$ $\sum_{k=1}^{m} w_{kj} = 1, k = 1, 2, \dots, h^{\omega}$ (6)₽ $y_i \in \{0,1\}, \forall i,l$ (18).1 $h_j = \sum_{i=1}^{n} W_{kj}, j=1,2,...,m$ <sub>२</sub> (7)२ $z_{\mu\nu} \in \{0,1\}, \forall k, f, j$ (19).1 $h = \sum_{j=1}^{m} h_j \, \mathcal{A}$ $w_{k} \in \{0,1\}, \forall k, j$ . $(20)_{-1}$ (8)₽ (21).1 $d_k \in \{0,1\}, \forall k, r$

**Lemma 2.2.1**. There exists a schedule  $\pi = (b_1, b_2, \dots, b_f, \dots, b_g, \dots, b_h)$  for the problem  $\psi$ , in which the solutions remain unchanged when: (1) any two jobs in a batch are swapped; (2) any two batches processed on the same manufacturer's machine are swapped; (3) any two jobs in different batches processed on the same manufacturer's machine are swapped.

Jobs sequencing and batches sequencing argument

**Corollary 2.2.1.** The maximum completion time of all jobs on the machine of the manufacturer is  $C^{j} = \sum_{k=1}^{h_{j}} P_{k} + t \cdot h_{j} (j = 1, 2, \dots, m).$ 

**Lemma 2.2.2.** The sum of maximum completion time of all jobs on the machines of all manufacturers is  $\sum_{j=1}^{m} C^{j} = t \cdot h + \sum_{i=1}^{N} p_{i}$ .

# Relationship between the number of batches and the makespan

## **3 Supply Chain Scheduling with Multiple**



### **Batching mechanism DP-H**

For each *i* from 1 up to  $n'_{i}$  dow

Step 1: Initialize the job set  $J_{inset}^{j}$  (j = 1, 2, ..., m) of all jobs to be processed in each manufacturer according to the position sequence values of the objects. The number of the jobs in  $J_{inset}^{j}$  is represented by  $n_{inset}^{j}$  (j = 1, 2, ..., m),  $\sum_{j=1}^{m} n_{inset}^{j} = N$ . Calculate the jobs number  $n_{i}^{j}$  (l = 1, 2, ..., n, j = 1, 2, ..., m) of each type to be processed in the *j*-th manufacturer. q = 0, j = 1.

For each v from 1 up to c do↔

 $g(i, v) = \begin{cases} g(i-1, v), & s_i > v \\ \max\{g(i-1, v), g(i-1, v-s_i) + s_i\}, & else \end{cases}$ The optimal solution value is equal to  $g(n_{and}^i, c)$ , and the corresponding schedule can be found by

backtracking.+/

Step 3: Calculate the jobs number of each type  $in_{o}O_{q}$ , respectively. Denote the number as

$$k_{q}^{l}$$
  $(l = 1, 2, ..., n)$ .

Step 4: Calculate the execution number  $d_a$  of the combination  $O_a$  .  $\leftarrow$ 

$$d_q = \min_{l=1,2,\dots,n} \left\lfloor n_l^j / k_q^l \right\rfloor .$$

Step 5: Update  $J_{inst}^{j} n_{nod}^{j}$ , and  $n_{l}^{j} (l = 1, 2, ..., n)$ .

 $J^{j}_{rad} = J^{j}_{rad} / J^{q}$ , where the set  $J^{q}$  represents the jobs of all combinations of  $O_{q}$ .

$$n_{und}^{j} = n_{und}^{j} - d_{q} \sum_{l=1}^{n} k_{q}^{l} +$$

For each l from 1 up to  $n \operatorname{do}_{\forall}$ 

$$n_i^j = n_i^j - d_q \cdot k_q^j \, \leftrightarrow \,$$

**Step 6**: If  $J_{int}^{j} = \phi$ , go to step 7; otherwise, go to step 2.4

Step 7: Output the result matrix  $\varphi_j$  of the batches, where the first column  $Q_x(x=1,2,...,q)$ denotes the jobs combination of the x-th iteration and the second column  $d_x(x=1,2,...,q)$ represents the number of the jobs combination of the x-th iteration.



**Computational experiments** 

$$LB = \frac{\sum_{i=1}^{N} p_i + t \cdot \left[\sum_{i=1}^{N} s_i/c\right] + \min_{j=1,2,\cdots,m} T_j}{m}$$

$$Gap_{H} = \frac{C_{\max}^{H} - LB}{LB} \times 100\%$$
Performance Evaluation Index

**Computational experiments** 



The average error ratios for each problem with different number of jobs type

**Computational experiments** 



The maximum error ratios for each problem with different number of jobs type

### **Computational experiments**



### **Computational experiments**





- (1) There is a set of jobs to be processed in two parallel manufacturers and then transported to a customer.
- (2) Machine breakdown may occur during the scheduling period, and the information of the breakdown will be transmitted to the center of production management immediately by RFID based on IoT.

Mixed integer programming model (3)

Minimize  $C_{max}$ (1)Subject to  $\sum_{i=1,2,\cdots,n}^{n} x_{ik} = 1, \qquad i = 1,2,\cdots,n$ (2) $\sum_{i=1}^{n} s_i \cdot x_{ik} \leq c, \qquad k = 1, 2, \cdots, h$ (3) $\sum_{i=1}^{2} z_{kj} = 1, \qquad k = 1, 2, \cdots, h$ (4) $h_j = \sum_{k=1}^h z_{kj}$ , j = 1,2(5) $h = \sum_{j=1}^{2} h_j$ (6)

Mixed integer programming model (3)

$$S_{1kj} \ge \max_{J_i \in b_k} \{r_i\} + g_k s, \qquad k = 1, 2, \cdots, h, \ j = 1, 2$$

$$C_{1kj} \ge S_{1kj} + \sum_{i=1}^n x_{ik} \cdot p_i, \qquad k = 1, 2, \cdots, h, \ j = 1, 2$$
(8)

$$v_{kjl} \left( d_{jl} - S_{1kj} \right) \left( C_{1kj} - e_{jl} \right) \ge 0, \quad k = 1, 2, \cdots, h, \ j = 1, 2, \ l = 1, 2, \cdots, m_j$$
(9)

$$C_{2kj} = C_{1kj} + g_k T, \quad k = 1, 2, \cdots, h, \quad j = 1, 2$$
 (10)

$$g_f C_{1kj} - C_{1fj} + P_f + g_f s - (1 - y_{kfj}) M \le 0,$$
  
$$k = 1, 2, \dots, h, \qquad f = 1, 2, \dots, h, \qquad j = 1, 2, \ k \ne f \qquad (11)$$

$$C^{j} \geq s \cdot h_{j} + \sum_{k=1}^{h} z_{kj} \cdot P_{k} + \sum_{l=1}^{m_{j}} (e_{jl} - d_{jl}), \quad j = 1,2$$

$$C_{max} \geq C^{j} + T, \quad j = 1,2$$

$$x_{ik}, y_{kfj}, z_{kj}, v_{kjl} \in \{0,1\}, \quad \forall i, k, f, j, l$$
(12)
(12)
(12)
(13)
(14)

Lemma 2.3.1. For all schedules, the solution remains unchanged when any two jobs in a batch are swapped.

**Lemma 2.3.2.** There exists an optimal schedule such that all jobs in each batch are processed in *non-decreasing order of their arrival times on the machines of both manufacturers*  $m_1$  *and*  $m_2$ .

Jobs and batches sequencing argument

**Lemma 2.3.3** In a schedule of the problem  $\psi$ , each batch is processed in non-decreasing order of ready time, which is equal to the arrival time of the last job in the batch. There exists a batch  $b_k = \{J_i, \dots, J_{i+x}, J_{i+x+1}, \dots, J_{i+n_k-1}\}$  processed in the manufacturer  $m_j (j = 1, 2)$ , where  $n_k \ge 2$  and  $r_i \ge C_{1(k-1)j}$ . If  $r_{i+n_k-1} > r_{i+x} + s$ , then the solution can be improved.

### The improvement condition

**Lemma 2.3.4.** The maximum completion time of all jobs on the machine of the manufacturer j is  $C^{j} \ge \sum_{k=1}^{h_{j}} P_{k} + s \cdot h_{j} + \min_{i=1,\dots,n} \{r_{i}\} + \sum_{l=1}^{m_{j}} (e_{jl} - d_{jl})(j = 1,2).$  **Lemma 2.3.5.** The sum of maximum completion time of all jobs on the machines of both manufacturers is  $\sum_{j=1}^{2} C^{j} \ge \sum_{i=1}^{n} p_{i} + s \cdot h + 2 \min_{i=1,\dots,n} (r_{i}) + \sum_{j=1}^{2} \sum_{l=1}^{m_{j}} (e_{jl} - d_{jl})(j = 1,2).$ 

### The result of the job completion times



### Flow chart of the proposed heuristic algorithm

**Computational experiments** 



Computational results for Ag=0.05 and MTTR=12 MTBF and MTTR denoting the mean time of the intervals between the machine breakdowns and repairing the machines, and Ag= MTTR/(MTTR+MTBF)

**Computational experiments** 



Computational results for Ag=0.05 and MTTR=36

### **Computational experiments**



Computational results for Ag=0.1 and MTTR=12

**Computational experiments** 



Computational results for Ag=0.1 and MTTR=36

Thank you!