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NATIONAL RESEARCH UNIVERSITY

## **Fast Nearest-Neighbor Classifier based on Sequential Analysis of Principal Components**

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# Outline

- Image recognition problem
- Proposed recognition method based on sequential analysis of principal components
- Experimental results in face classification
- Concluding comments and future plans



# Problem formulation

The problem is to assign an input set of  $T$  feature vectors  $x(t) = [x_1(t), \dots, x_D(t)]$  into one of  $C$  classes. They are specified by a training set of  $R \geq C$  points  $x_r = [x_{r;1}, \dots, x_{r;D}]$ ,  $r \in \{1, \dots, R\}$ , which class label  $c(r) \in \{1, \dots, C\}$  is known. Dimensionality  $D$  is rather high

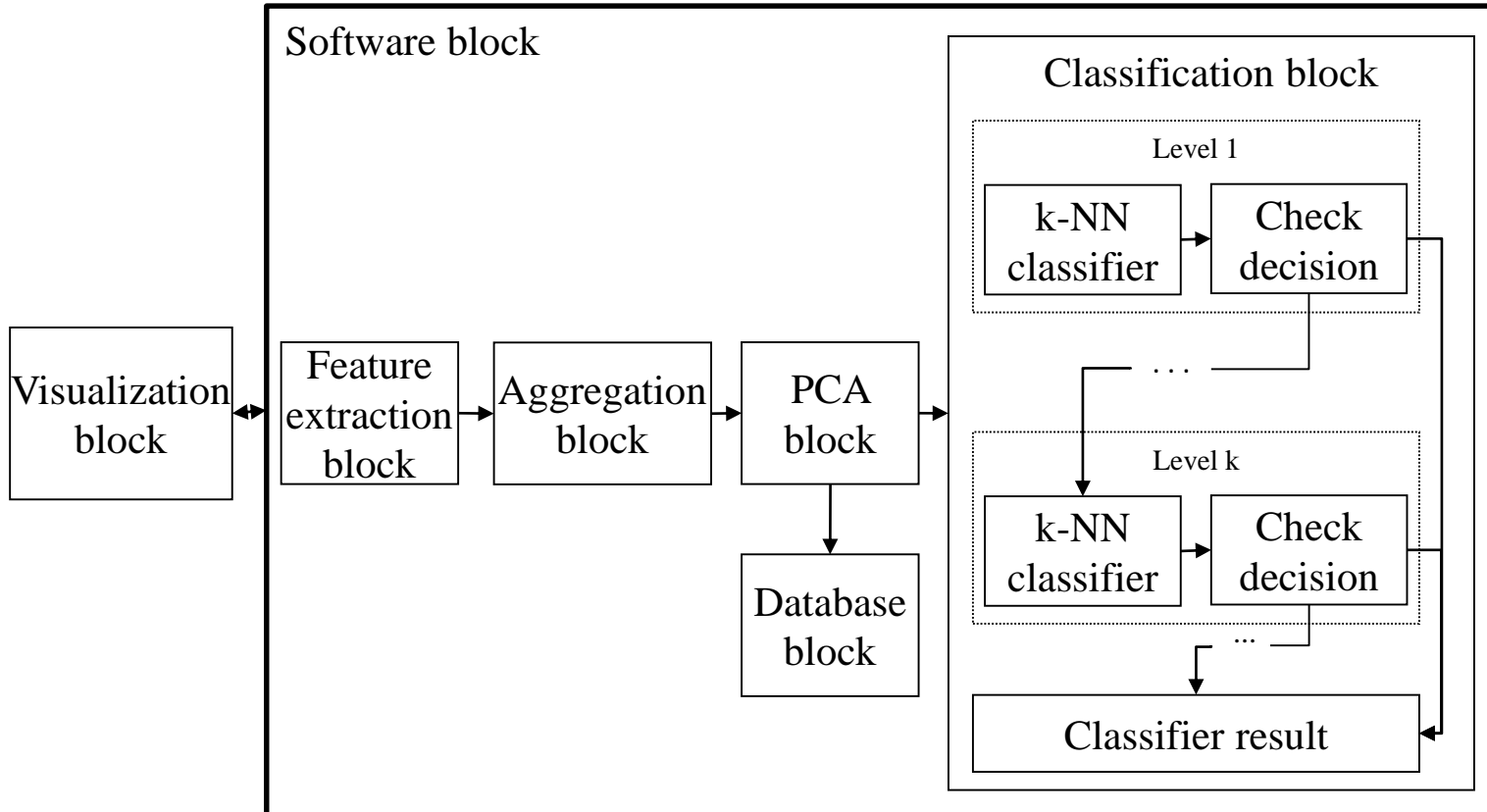


Instance-based learning  
approach

- k-nearest neighbor (k-NN)

As such feature vectors are high-dimensional, classification speed can be too low for many practical applications

# Proposed algorithm



# Proposed approach

Feature extraction is implemented using the deep CNNs trained with an external large dataset.

The distance between feature level to speed-up the matching:

$$\rho(\tilde{x}^{(l)}, \tilde{x}_r^{(l)}) = \rho(\tilde{x}^{(l-1)}, \tilde{x}_r^{(l-1)}) + \sum_{d=d_{(l-1)}+1}^{d_l} \rho(\tilde{x}_d, \tilde{x}_{r;d}) \quad (1)$$

Nearest neighbor class:

$$c_l^* = \operatorname{argmin}_{c \in C_l} \rho_c(\tilde{x}^{(l)}) \quad (2)$$

The set of candidates

$$C_{l+1} = \left\{ c \in C_l \mid \frac{\rho_c(\tilde{x}^{(l)})}{\rho_{c_l^*}(\tilde{x}^{(l)})} \leq \delta \right\} \quad (3)$$



# Aggregation techniques

Average features vector:

$$\bar{x} = \frac{1}{T} \sum_{t=1}^T x(t) \quad (4)$$

Medoid:

$$x^* = \operatorname{argmin}_{x(t)} \sum_{t'=1}^T \rho(x(t), x(t')) \quad (5)$$

Median:

$$x' = [x'_1, \dots, x'_D] \quad (6)$$

$x'_i$  – the median of  $i$ -th component of all vectors  $\{x(t), t = 1, 2, \dots, T\}$



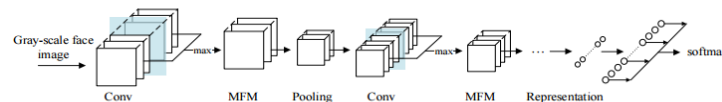
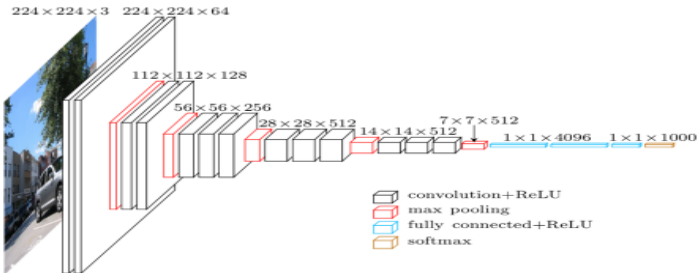
# Datasets



- LFW (Labeled Faces in the Wild)
  - 1680 people
  - 13000 images
  - 1-10 images
- YTF (YouTube Faces)
  - 1595 people
  - 3425 videos
  - 48-6070 frames

# Feature extraction

- Lightened CNN (version C)  
Out: vector of 256 elements
- FaceNet  
Out: vector of 512 elements
- VggFace2  
Out: vector of 2048 elements
- VggFace  
Out: vector of 4096 elements

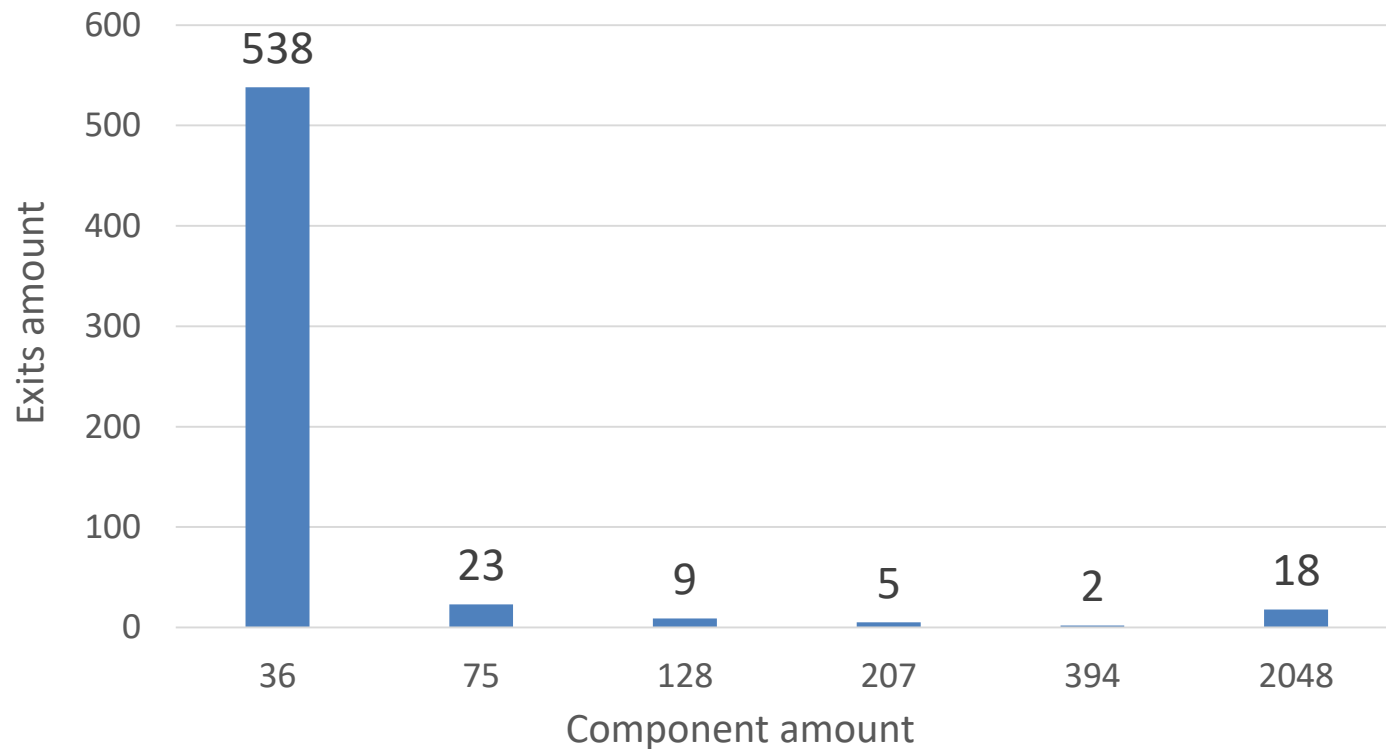




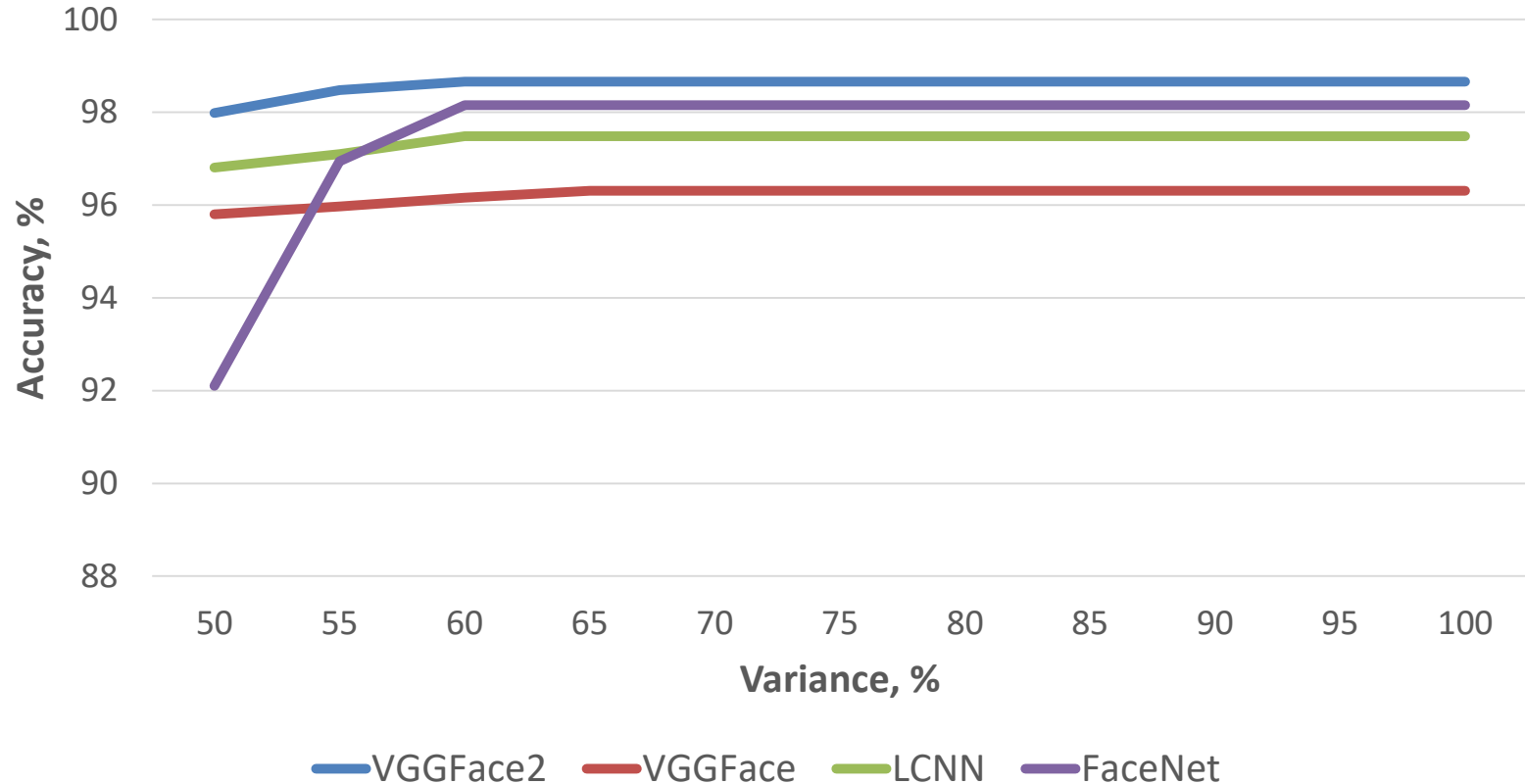
# Face recognition results for the k-NN classifier (LFW)

Metric	Classifier	VGGFace	LCNN	VGGFace2	FaceNet
Accuracy (%)	k-NN, all components	96.31	97.48	98.66	98.15
	k-NN, fixed no. of components	94.10	96.21	96.95	97.36
	sequential k-NN, fixed no. of components	95.97	96.97	98.32	98.15
	sequential k-NN, variable no. of components	95.80	96.81	97.98	97.94
Time (ms)	k-NN, all components	50.39	4.88	34.78	9.37
	k-NN, fixed no. of components	2.53	2.50	2.53	2.52
	sequential k-NN, fixed no. of components	2.92	2.54	2.84	2.69
	sequential k-NN, variable no. of components	3.20	2.23	2.81	1.87

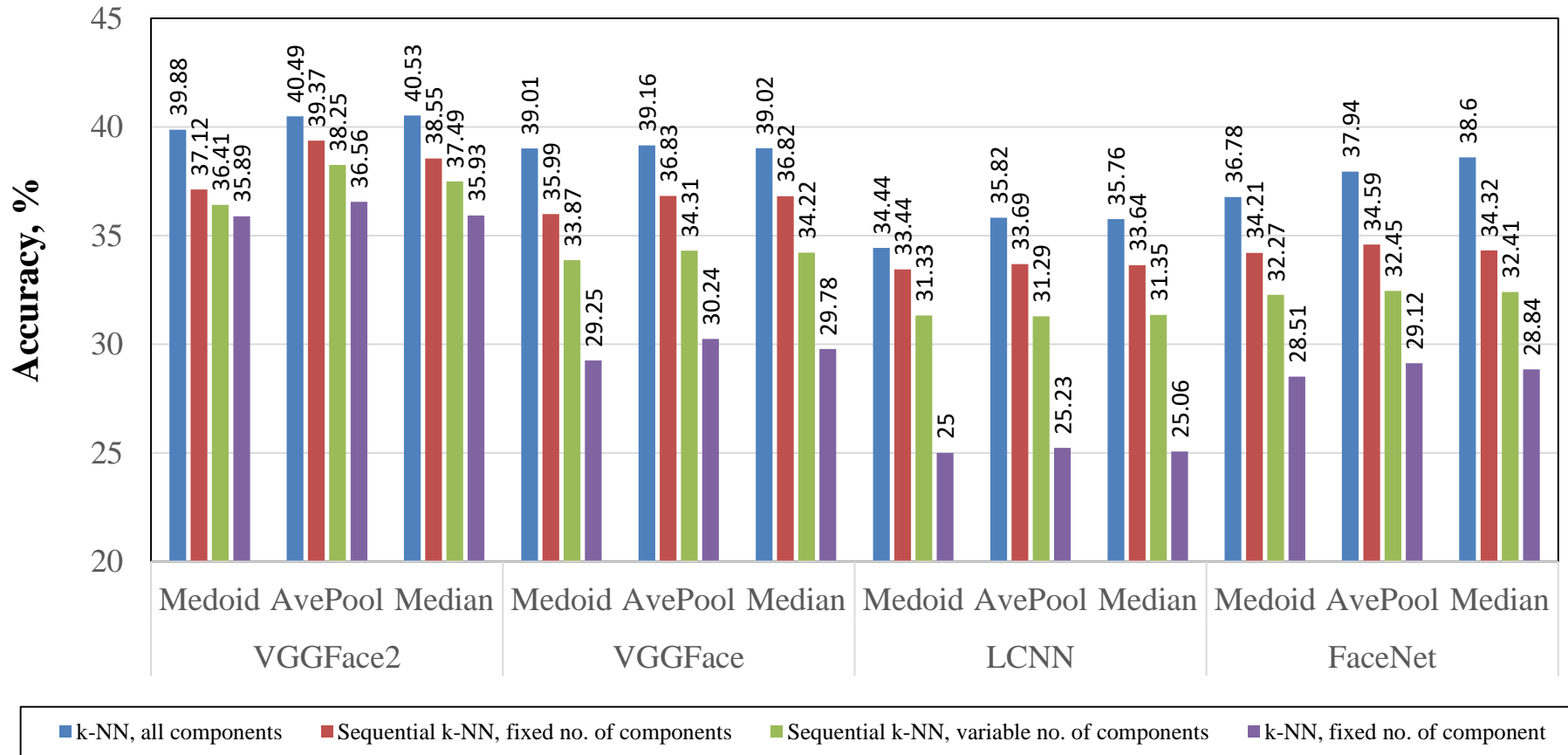
# Face recognition results for the k-NN classifier (LFW)



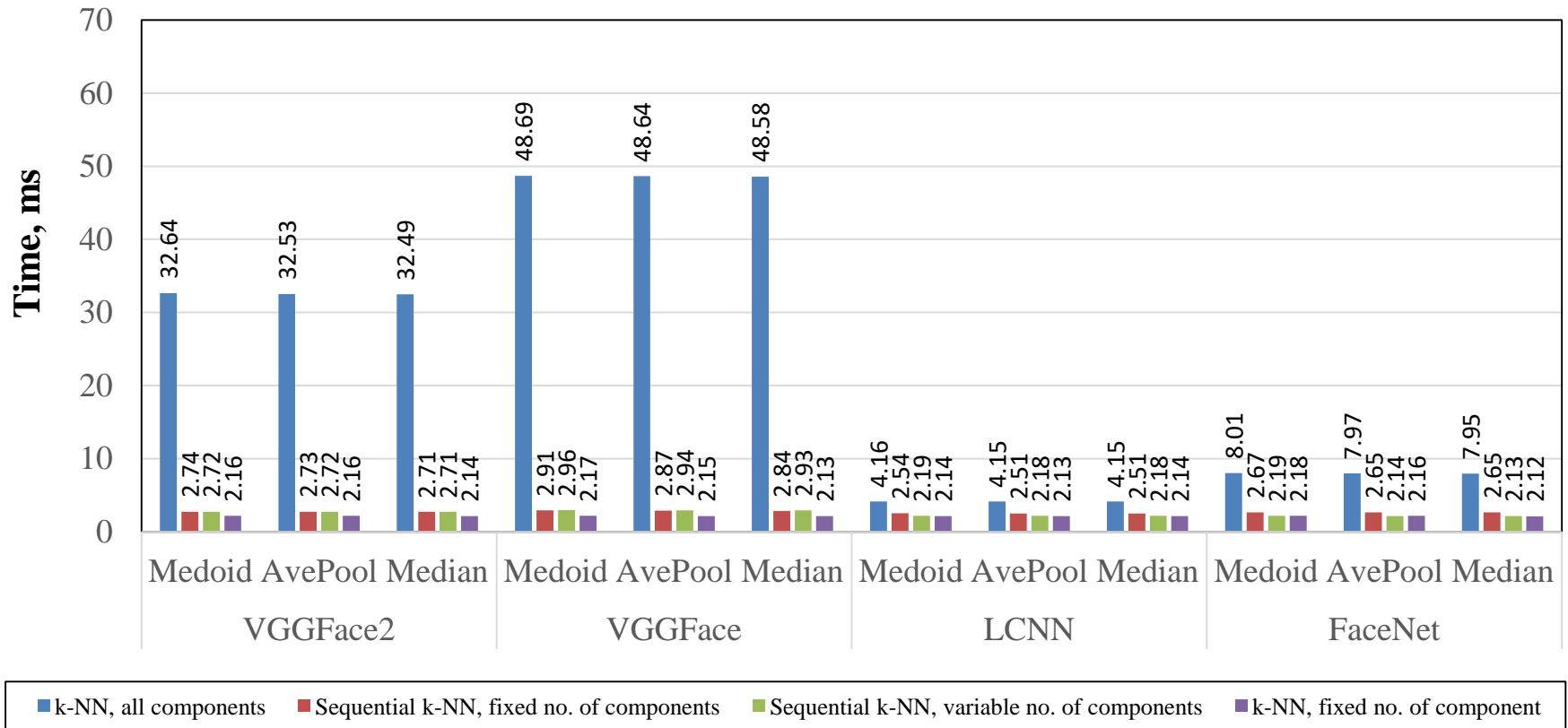
# Face recognition results for the k-NN classifier (LFW)



# Face recognition results for the k-NN classifier (YTF)



# Face recognition results for the k-NN classifier (YTF)



# Conclusion

- We proposed the modification of the k-NN classification method based on sequential analysis of high-dimensional features
- The proposed approach is up to 8-10 times faster when compared to the original k-NN method, while the accuracy decreases only by 0.1-0.9%

# Future work

- To examine sophisticated classifiers in order to increase the recognition accuracy
- To use more difficult dissimilarity measures
- To study contemporary aggregation techniques instead of simple averaging of individual features



Thank you for attention!