



**How to obtain  $\exp(-itH)$  for arbitrary self-adjoint  $H$   
if for each  $t > 0$  you know  $\exp(-tH)$  or  $\exp(tH)$  or even less  
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**Keywords:** Schrödinger equation, approximation of  $C_0$ -group, Chernoff product formula, Chernoff tangency

**MSC2010 codes:** 81Q05, 47D08, 35C15, 35J10, 35K05

**Answer to the question from the title.** Consider Hilbert space  $\mathcal{F}$  over the field  $\mathbb{C}$  and (unbounded in interesting cases) densely defined self-adjoint operator  $H$  in  $\mathcal{F}$ . The main result of the talk is my formula

$$R(t) = \exp(ia(S(t) - I)) \quad (1)$$

where  $a$  is a non-zero real number and  $(S(t))_{t \geq 0}$  is a family of self-adjoint bounded linear operators that is Chernoff-tangent to  $H$ . Omitting some minor details one can say that Chernoff-tangency means that

$$S(t)f = f + tHf + o(t) \text{ as } t \rightarrow +0 \quad (2)$$

for all  $f$  from  $D \subset \mathcal{F}$  where  $D$  is a core of  $H$ . Then thanks to the Chernoff theorem [1] we have the following approximations that hold in the strong operator topology

$$\exp(aitH) = \lim_{n \rightarrow \infty} R(t/n)^n = \lim_{n \rightarrow \infty} \exp(ian(S(t/n) - I)) \quad (3)$$

where in the exponent there is a bounded linear operator  $ian(S(t/n) - I)$  so exponent is well-defined by a power series  $\exp(ian(S(t/n) - I)) = \sum_{k=0}^{\infty} \frac{(ian)^k}{k!} (S(t/n) - I)^k$ . This approach was introduced in [2] with exact definitions, full proofs and comments.

For example, if  $\exp(tH)$  exists and you have any explicit formula for it then you can set  $a = -1$  and  $S(t) = \exp(tH)$  will be Chernoff-tangent to  $H$ , so in the strong operator topology we obtain the limit expression

$$\exp(-itH) = \lim_{n \rightarrow \infty} \exp\left(-in\left(e^{\frac{t}{n}H} - I\right)\right). \quad (4)$$

Similarly, if  $\exp(-tH)$  exists and you have any explicit formula for it then you can set  $a = 1$  and  $S(t) = \exp(-tH)$  will be Chernoff-tangent to  $-H$ , so in the strong operator topology we obtain the limit expression

$$\exp(-itH) = \lim_{n \rightarrow \infty} \exp\left(in\left(e^{-\frac{t}{n}H} - I\right)\right). \quad (5)$$

If e.g.  $H = \Delta$  is the Laplacian then formula (4) means that we solve the heat equation  $u'_t = \Delta u$  obtaining solution  $u(t) = e^{t\Delta}u_0$ , then mix this solution with the imaginary unit  $i$  via formula (1) and obtain the solution of the Schrödinger equation  $i\psi'_t = \Delta\psi$  given by  $\psi(t) = e^{-it\Delta}u_0$  where  $e^{-it\Delta}$  is given by (4). This example is elementary but the formula (1) is universal and it works for all self-adjoint operators  $H$ , which can contain derivatives of arbitrary high order and variable coefficients.

**What to do if  $\exp(tH)$  and  $\exp(-tH)$  are both not known.** This situation is more difficult, but still formula (1) is helpful. The way is to find such  $S(t)$  that  $S(t)^* = S(t)$  and  $S(t)f = f + tHf + o(t)$  or  $S(t)f = f - tHf + o(t)$  as  $t \rightarrow +0$  and apply formula (3). Construction of such  $S(t)$  is much more simpler than constructing  $\exp(tH)$  or  $\exp(-tH)$  because  $S$  does not need to hold the composition property: it is ok when  $S(t_1 + t_2) \neq S(t_1)S(t_2)$ . Moreover, if  $S_1(t)f = f + tH_1f + o(t)$  and  $S_2(t)f = f + tH_2f + o(t)$  then  $S_1(t) + S_2(t) - I$  is self-adjoint and

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Chernoff-tangent to  $H_1 + H_2$ . This gives a lot of freedom and allows to find representations for solutions of rather complicated Schrödinger equations. As far as I know now (4 April 2021) this approach was used at least by V.Zh.Sakbaev, M.S.Businov, D.V.Grishin&A.V.Smirnov and can be found in the overview [1] by Ya.A.Butko. You are welcome to use it also. The only problem is that representations for  $\exp(-itH)$  provided by (3) are rather lengthy.

**Example 1.** Solution to one-dimensional Schrödinger equation with derivatives of arbitrary high order and variable coefficients.

*Theorem 1.* (see in [3] with full proof) Fix arbitrary  $K \in \mathbb{N}$ . Suppose that for  $k = 0, 1, \dots, K$  functions  $a_k: \mathbb{R} \rightarrow \mathbb{R}$  are given. Suppose that for each  $k = 1, \dots, K$  function  $a_k$  belongs to space  $C_b^{2k}(\mathbb{R})$  of all bounded functions  $\mathbb{R} \rightarrow \mathbb{R}$  with bounded derivatives up to  $(2k)$ -th order. Suppose that function  $a_0: \mathbb{R} \rightarrow \mathbb{R}$  is measurable and belongs to space  $L_2^{loc}(\mathbb{R})$ , i.e.  $\int_{-R}^R |a_0(x)|^2 dx < \infty$  for each real number  $R > 0$ . Define

$$(\mathcal{H}\varphi)(x) = a_0(x)\varphi(x) + \sum_{k=1}^K \frac{d^k}{dx^k} \left( a_k(x) \frac{d^k}{dx^k} \varphi(x) \right)$$

for each  $\varphi$  from the space  $C_0^\infty(\mathbb{R})$  of all functions  $\varphi: \mathbb{R} \rightarrow \mathbb{R}$  which are bounded together with their derivatives of all orders and have compact support (are zero outside of some closed interval). We also use the following condition for coefficients  $a_k$ ,  $k = 0, 1, \dots, K$ : operator  $\mathcal{H}$  defined on  $C_0^\infty(\mathbb{R})$  is essentially self-adjoint in  $L_2(\mathbb{R})$ , i.e. the operator  $(\mathcal{H}, C_0^\infty(\mathbb{R}))$  is closable and its closure — let us denote it as  $(\mathcal{H}, D(\mathcal{H}))$  — is a self-adjoint operator.

Suppose that function  $w: \mathbb{R} \rightarrow \mathbb{R}$  is continuous, bounded, differentiable at zero and  $w(0) = 0$ ,  $w'(0) = 1$  (examples include:  $w(x) = \arctan(x)$ ,  $w(x) = \sin(x)$ ,  $w(x) = \tanh(x) = (e^x - e^{-x})/(e^x + e^{-x})$ , etc). For each  $t \geq 0$ ,  $k = 1, 2, \dots, K$ , each  $x \in \mathbb{R}$ , and each  $f \in L_2(\mathbb{R})$  define:

$$\begin{aligned} (B_{a_k}f)(x) &= a_k(x)f(x), \\ (A(t)f)(x) &= f(x+t), \quad (A(t)^*f)(x) = f(x-t), \\ F_k(t) &= (A(t^{1/2k}) - I)^k B_{a_k} (I - A(t^{1/2k})^*)^k, \quad F_0(t)f(x) = w(ta_0(x))f(x), \\ F(t) &= \sum_{k=0}^K F_k(t), \quad S(t) = I + F(t) = I + \sum_{k=0}^K F_k(t), \end{aligned}$$

where  $I$  is the identity operator ( $If = f$ ), and expression such as  $Z^k$  means the composition  $ZZ \dots Z$  of  $k$  copies of linear bounded operator  $Z$ .

THEN for each initial condition  $\psi_0 \in L_2(\mathbb{R})$  the Cauchy problem

$$\begin{cases} \psi'_t(t) = -i\mathcal{H}\psi(t), \\ \psi(0) = \psi_0, \end{cases}$$

and has a unique (in sense of  $L_2(\mathbb{R})$ ) solution  $\psi(t)$  that depends on  $\psi_0$  continuously with respect to norm in  $L_2(\mathbb{R})$ , and for all  $t \geq 0$  and almost all  $x \in \mathbb{R}$  can be expressed in the form

$$\psi(t, x) = (e^{-it\mathcal{H}}\psi_0)(x) = \left( \lim_{n \rightarrow \infty} \lim_{j \rightarrow +\infty} \sum_{q=0}^j \frac{(-in)^q}{q!} \left( \sum_{k=0}^K F_k(t/n) \right)^q \right) \psi_0(x).$$

Here linear bounded operators  $F_0(t), \dots, F_K(t)$  are defined above in conditions of the theorem for all  $t \geq 0$  (hence  $F_0(t/n), \dots, F_K(t/n)$  are defined for all  $t \geq 0$  and all  $n \in \mathbb{N}$ ), and the power  $q$  in  $\left( \sum_{k=0}^K F_k(t/n) \right)^q$  stands for a composition of  $q$  copies of linear bounded operator  $\sum_{k=0}^K F_k(t/n)$ .

**Example 2.** Solution to multi-dimensional Schrödinger equation with Laplacian and measurable locally square integrable potential.

*Theorem 2.* (see in [3] with full proof) Suppose that function  $V: \mathbb{R}^d \rightarrow \mathbb{R}$  belongs to the space  $L_2^{loc}(\mathbb{R}^d)$ , i.e.  $V$  is measurable and  $\int_{\|x\| \leq R} V(x)^2 dx < \infty$  for each  $R > 0$ , where  $\|x\| = (x_1^2 + \dots + x_d^2)^{1/2}$ . Suppose that  $a \in \mathbb{R}, a \neq 0$ . Suppose that function  $w: \mathbb{R} \rightarrow \mathbb{R}$  is bounded, continuous, differentiable at zero and  $w(0) = 0, w'(0) = 1$ ; for example, one can take  $w(x) = \sin(x), w(x) = \arctan(x), w(x) = \tanh(x) = (e^x - e^{-x})/(e^x + e^{-x})$  etc. Suppose that for each  $j = 1, \dots, d$  constant vector  $e_j \in \mathbb{R}^d$  has 1 at position  $j$  and has 0 at other  $d - 1$  positions. For each function  $f \in L_2(\mathbb{R}^d)$ , each smooth function  $\varphi: \mathbb{R}^d \rightarrow \mathbb{C}$  and each  $x \in \mathbb{R}, t \geq 0$  define

$$(W(t)f)(x) = \frac{1}{2d} \sum_{j=1}^d \left[ f\left(x + \sqrt{d}\sqrt{t}e_j\right) + f\left(x - \sqrt{d}\sqrt{t}e_j\right) - 2f(x) \right] + w(-tV(x))f(x), \quad (6)$$

$$(H\varphi)(x) = \frac{1}{2}\Delta\varphi(x) - V(x)\varphi(x).$$

Suppose also that at least one of these two conditions is satisfied:

A) if we use the symbol  $C_0^\infty(\mathbb{R}^d)$  for the set of all infinitely smooth functions  $\mathbb{R}^d \rightarrow \mathbb{R}$  with compact support then the closure of the operator  $(H, C_0^\infty(\mathbb{R}^d))$  is a self-adjoint operator in  $L_2(\mathbb{R}^d)$ ;

B)  $V(x) \geq 0$  for all  $x \in \mathbb{R}^d$ .

Consider Cauchy problem for Schrödinger equation

$$\begin{cases} \psi'_t(t, x) = iaH\psi(t, x), & t \in \mathbb{R}^1, x \in \mathbb{R}^d, \\ \psi(0, x) = \psi_0(x), & x \in \mathbb{R}^d. \end{cases} \quad (7)$$

where the Hamiltonian is equal to  $-aH$ .

THEN for each  $t \geq 0$  and  $\psi_0 \in L_2(\mathbb{R}^d)$  Cauchy problem (7) have the unique (in  $L_2(\mathbb{R}^d)$ ) solution  $\psi(t, x) = (e^{iatH}\psi_0)(x)$ , that continuously, with respect to norm in  $L_2(\mathbb{R}^d)$ , depends (for fixed  $t$ ) on  $\psi_0$ . For almost all  $x \in \mathbb{R}^d$  and all  $t \geq 0$  this solution satisfies the formula

$$\psi(t, x) = \left( \lim_{n \rightarrow +\infty} \lim_{j \rightarrow +\infty} \sum_{k=0}^j \frac{(ian)^k}{k!} W(t/n)^k \psi_0 \right) (x),$$

where  $W(t/n)$  is obtained by substitution of  $t$  with  $t/n$  in (6), and  $W(t/n)^k$  is a composition of  $k$  copies of linear bounded operator  $W(t/n)$ .

**Acknowledgements.** Author is partially supported by Laboratory of Dynamical Systems and Applications NRU HSE, of the Ministry of science and higher education of the RF grant ag. No 075-15-2019-1931.

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